09/937406

PATENT COOPERATION TREATY

PCT



INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference PJS/P7688WO			See Notification of Transmittal of International FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)			
			International filing data (day/month/ves	ar) Priority date (day/month/year)		
International PCT/EPC			International filing date (day/month/yea 23/03/2000	24/03/1999		
		nt Classification (IPC) or nat				
B01J19/0		in classification (if o) or had	ional olacomounter and w			
Applicant						
TORSAN	IA BI	OSENSOR A/S et al.				
			and because and but	A this International Proliminary Examining Authority		
1. This i	nterna s trans	ational preliminary exami smitted to the applicant a	nation report has been prepared by ccording to Article 36.	this International Preliminary Examining Authority		
			·			
2. This l	REPO	RT consists of a total of	12 sheets, including this cover she	et.		
T 🗆 t	his re	port is also accompanied mended and are the bas	I by ANNEXES, i.e. sheets of the de is for this report and/or sheets cont:	escription, claims and/or drawings which have aining rectifications made before this Authority		
(see R	ule 70.16 and Section 60	7 of the Administrative Instructions	under the PCT).		
Thes	e ann	exes consist of a total of	sheets.			
3. This	report	contains indications rela	ting to the following items:			
I		Basis of the report				
11		Priority				
HI			pinion with regard to novelty, invent	tive step and industrial applicability		
IV		Lack of unity of invention				
V	\square	Reasoned statement ur citations and explanation	nder Article 35(2) with regard to nov ons suporting such statement	velty, inventive step or industrial applicability;		
,,		Certain documents cite				
VI.	N					
VII		Certain defects in the in	ternational application			
	\boxtimes		nternational application the international application			
VII						
VII						
VII	Ø		the international application	npletion of this report		
VII	Ø	Certain observations or	n the international application Date of com			
VII	M omissio	Certain observations or	the international application			
Date of sut	omission	Certain observations or	Date of com			
VII VIII Date of sul 17/10/20 Name and	omission of the community of the communi	Certain observations or on of the demand g address of the international ining authority	Date of com 16.07.2001 Authorized of			
VII VIII Date of sul 17/10/20 Name and	omission omi	Certain observations or on of the demand	Date of com 16.07.2001 Authorized of Stevnsbo	officer		

International application No. PCT/EP00/02578

I. Basis of the report

-	ur. ai	ie receiving Office in	ments of the international application (Replacement sheets which have been furnished to response to an invitation under Article 14 are referred to in this report as "originally filed" to this report since they do not contain amendments (Rules 70.16 and 70.17)):
	1-	47	as originally filed
	C	aims, No.:	
	1-	18	as originally filed
	Dr	awings, sheets:	
	1/	12-12/12	as originally filed
2.	Wi lan	th regard to the lang guage in which the i	uage, all the elements marked above were available or furnished to this Authority in the nternational application was filed, unless otherwise indicated under this item.
	Th	ese elements were a	vailable or furnished to this Authority in the following language: , which is:
		the language of a t	ranslation furnished for the purposes of the international search (under Rule 23.1(b)).
			blication of the international application (under Rule 48.3(b)).
			ranslation furnished for the purposes of international preliminary examination (under Rule
3.	Wit	h regard to any nucl rnational preliminary	eotide and/or amino acid sequence disclosed in the international application, the vexamination was carried out on the basis of the sequence listing:
		contained in the inte	ernational application in written form.
		filed together with the	he international application in computer readable form.
			ently to this Authority in written form.
		furnished subseque	ently to this Authority in computer readable form.
		me international app	the subsequently furnished written sequence listing does not go beyond the disclosure in plication as filed has been furnished.
		The statement that listing has been furn	the information recorded in computer readable form is identical to the written sequence nished.
4.	The	amendments have r	resulted in the cancellation of:
		the description,	pages:
		the claims,	Nos.:

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		the drawings,	sheets:
5.		This report has been considered to go bey	established as if (some of) the amendments had not been made, since they have bee rond the disclosure as filed (Rule 70.2(c)):
		(Any replacement sh report.)	eet containing such amendments must be referred to under item 1 and annexed to this
6.	Add	itional observations, i	f necessary:
III.	Nor	n-establishment of o	pinion with regard to novelty, inventive step and industrial applicability
1.	The obv	ious), or to be industri	e claimed invention appears to be novel, to involve an inventive step (to be non- ally applicable have not been examined in respect of:
		the entire internation.	al application.
	\boxtimes	claims Nos. 18.	
be	caus	se:	
			application, or the said claims Nos. relate to the following subject matter which does ational preliminary examination (<i>specify</i>):
			ns or drawings (<i>indicate particular elements below</i>) or said claims Nos. are so unclear pinion could be formed (<i>specify</i>):
		the claims, or said cl could be formed.	aims Nos. are so inadequately supported by the description that no meaningful opinion
	\boxtimes	no international sear	ch report has been established for the said claims Nos. 18.
2.	and	neaningful internationa /or amino acid sequer ructions:	al preliminary examination cannot be carried out due to the failure of the nucleotide nce listing to comply with the standard provided for in Annex C of the Administrative
		the written form has	not been furnished or does not comply with the standard.
			ole form has not been furnished or does not comply with the standard.
IV	. Lac	ck of unity of invention	on
1.	In r	esponse to the invitati	on to restrict or pay additional fees the applicant has:
		restricted the claims.	

International application No. PCT/EP00/02578

		paid additional fees.			
		paid additional fees und	er prote	st.	
	\boxtimes	neither restricted nor pa	id additi	onal fees	5.
2.		This Authority found tha 68.1, not to invite the ap			t of unity of invention is not complied and chose, according to Rule or pay additional fees.
3.	This	s Authority considers that	the req	uirement	of unity of invention in accordance with Rules 13.1, 13.2 and 13.3 is
		complied with.			
		not complied with for the	e followi	ng reasor	ns:
4.		nsequently, the following mination in establishing table all parts. the parts relating to clain	his repo	ort:	national application were the subject of international preliminary
٧.		asoned statement under ations and explanations			ith regard to novelty, inventive step or industrial applicability;
1.	Sta	tement			
	Nov	velty (N)	Yes: No:		5-7; 13-17 1-4, 8-12
	Inve	entive step (IS)	Yes: No:		5-7; 13-17 1-4, 8-12
	Ind	ustrial applicability (IA)	Yes: No:	Claims Claims	1-17

2. Citations and explanations see separate sheet

VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted: see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

International application No. PCT/EP00/02578

see separate sheet

Re Item I

Basis of the opinion

1. Reference is made to the following documents:

D1: WO-A-98/10267

D2: Gert Blankenstein & Ulrik Darling Larsen, Biosensors & Bioelectronics, Vol.

13, no. 3-4, pp. 427-438, 1998 (XP700154)

D3: EP-A-916 396 (& US-A-5 412 087, cited in the application)

Re Item III

Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

1. As the applicant has not had a search report drawn up for the second invention mentioned under **Item IV**, the application will be prosecuted on the basis of the invention in respect of which a search has already been carried out (**invention I**). The applicant should therefore limit the application to the invention searched and excise those parts of the application relating to the other invention.

Re Item IV

Lack of unity of invention

1. The International Examining Authority has noted the objections put forward in the applicant's letter of 25.05.2001 regarding lack of unity.

However, the applicant fails to comment on the fact that the main feature of the alleged **invention I** is the **hydrodynamic focussing** of a liquid stream between two guiding streams whereas the main feature of the alleged **invention II** is the **electrodynamic focussing** of a liquid stream between two oppositely charged driving electrodes.

These methods constitutes two entirely different physical principles. Moreover, it shall be repeated here that the common technical problem to be solved by the independent claims is the focusing of a liquid stream and the production of an interaction on a

selected region of a target surface which *a prior*i is not novel but known in the art, e.g. from the document WO-A-9810267 (=D1) (cited in the application).

Therefore, the International Examining Authority maintains its objection that unity of invention is lacking between the **groups I** and **II** of alleged inventions according to **point 2** below.

2. The International Examining Authority agrees with the objection put forward by the International Search Authority as to lack of unity (Rule 13 PCT), the reasons being as follows:

The separate groups of invention are:

- I. Claims 1-17: method and apparatus for producing an interaction between a hydrodynamically focused liquid and a selected region of a target surface.
- II. Claim 18: method for producing an interaction between an electrodynamically focused liquid and a selected region of a target surface.

They are not so linked as to form a single general inventive concept (Rule 13.1 PCT) for the following reasons:

Independent claim 1 relates to a method for producing an interaction between a hydrodynamically focused liquid and a selected region of a target surface, comprising the hydrodynamic focusing of a liquid between two streams of guidance liquids and interaction of the hydrodynamically focused liquid and the target surface.

Independent claim 14 relates to a method for producing an interaction between hydrodynamically focused liquids and a selected region of a target surface, comprising the hydrodynamic focusing of a first liquid between two streams of guidance liquids and carrying out a first interaction of the first hydrodynamically focused liquid at a first target surface followed by the hydrodynamic focusing in a crossing flow path of a second liquid between two streams of guidance liquids and carrying out a second interaction of the second hydrodynamically focused liquid at a second target surface which intersects the first target surface.

Independent claim 16 relates to an apparatus suitable for use in the methods of claims 1 or 14.

Independent claim 18 relates to a method for producing an interaction between an electrodynamically focused liquid and a selected region of a target surface, comprising the electrodynamic focusing of a liquid between two streams of guidance liquids and interaction of the electrodynamically focused liquid and the target surface.

The common technical problem to be solved by the independent claims is the focusing of a liquid stream and the production of an interaction on a selected region of a target surface. This is a priori not novel but known in the art, e.g. from the document WO-A-9810267 (=D1) (cited in the application).

Moreover, the independent claims present two different non-connected solutions to this common technical problem.

Independent claims 1, 14 and 16: hydrodynamically focusing a liquid stream by the use of two guidance streams, one on each side of the liquid stream.

Independent claims 18: electrodynamically focusing a liquid stream by the use of oppositely charged electrodes.

The general problem solved by the independent claim 1, i.e. to produce interaction between a hydrodynamically focused liquid and a target surface, is also implicitly solved by the document D1, and thus no common problem is solved by all of the above mentioned independent claims, which is different from that solved by the document D1.

Thus, there is no single common general inventive concept between the above mentioned four independent claims as required by Rule 13.1 PCT.

The subject-matter of independent claim 1 is already known and not inventive on the basis of the disclosure found in the document D1 (see abstract; page 1, paragraph 1; page 3, lines 18-35; page 5, line 29 - page 6, line 6; page 6, line 33 - page 8, line 15; page 14, line 16 - page 15, line 15; page 18, line 29 - page 19, line 10; page 21, line 36

- page 22, line 15; page 27, line 14 - page 22, line 17; figures 1, 5, 13). The requisite unity of invention (Rule 13.1 PCT) therefore no longer exists inasmuch as a technical relationship involving one or more of the same or corresponding special technical (novel and inventive) technical features in the sense of Rule 13.2 PCT does not exist between the subject-matter of the groups of dependent claims.

Re Item V

Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. In the letter of 25.05.2001, the applicant argues that the subject matter of claims 1-4 and 8-12 is novel and inventive over the disclosure of D1, in particular that D1 does not disclose an **interaction** of a **hydrodynamically focussed liquid** with the surface over which it flows, nor that the **hydrodynamically focussed liquid** is made to flow in a chosen lateral position within the flow cell.

Insofar as the term "**interaction**" is concerned, no particular limitations can be derived therefrom, as the mere flow of a liquid containing particles over any surface inevitably would produce an interaction, such as friction.

In the same vein, the term "target" does not have any delimited meaning either, as long as no specification is referred to as to the precise meaning thereof.

It is the opinion of the International Examining Authority that the document D1 indeed discloses the hydrodynamic focussing of a liquid between two guidance liquids, so that the liquid is made to flow along a pre-determined path through a flow cell, thereby causing it to interact with a surface of the flow cell. See e.g. page 5, lines 29-34; page 6, line 33 - page 7, line 23.

Furthermore, thought not specifically stated in the description of figures 12(a) and 12(b), it would be obvious to the person skilled in the art when studying D1, that the flow device illustrated and generally referred to as (5) would be similar in construction and function to any of the other embodiments described and illustrated in D1, such as that of figure 7; see page 22, line 34 - page 23, line 2.

Therefore, the embodiment illustrated in figure 12(a) and 12(b) of D1 does indeed disclose a hydrodynamically focussed liquid which is made to interact in a flow cell with a selected assay sites on surface comprising an array of probes, such as DNA

The International Examining Authority therefore maintains its objections regarding lack of novelty as put forward under **point 2** below.

- 2. The application does not meet the requirements of Art. 33(2) PCT in that claims 1-4 and 8-12 lack novelty.
- i. D1 discloses a method of hydro-dynamically focussing a liquid stream on a selected region on a target surface by means of two guidance liquid flows and thereby causing an interaction with the selected region of the target surface. The interaction may be a chemical reaction comprising the immobilisation of a nucleotide. Moreover, D1 also discloses Reynolds numbers for the flow channel within the claimed range. See abstract; page 1, paragraph 1; page 3, lines 18-35; page 5, line 29 page 6, line 6; page 6, line 33 page 8, line 15; page 14, line 16 page 15, line 15; page 18, line 29 page 19, line 10; page 21, line 36 page 22, line 15; page 27, line 14 page 22, line 17; figures 1, 5, 13.
- ii. D2 discloses a similar method of hydrodynamically focussing a liquid on a selected region of a target surface. See the whole document.
- **3**. The subject matter of claims 5-7 and 13-17 appears to be novel and inventive over the available prior art.
- i. Neither D1 nor D2 disclose the further covalent binding of an (oligo)nucleotide or an amino acid to one already immobilised on the target surface, nor the immobilising of a first amino acid of a peptide on the target surface. Furthermore, no disclosure is found of intersecting regions.
- ii. D3 discloses a method and apparatus for sequentially synthesising oligonucleotides on a support surface by means of flow channels which are alternately placed so as to form intersecting regions. D3 does, however, not disclose the use of hydrodynamically guided flow means. See page 9, line 4- page 10, line 6; figures 4a-4c; figures 7a, 7b.

- iii. None of the available prior art disclose a method wherein a hydrodynamically focussed flow is combined with electro-osmotic flow means.
- 4. All of claims 1-17 meet the requirements of Art. 33(4) PCT with regard to industrial applicability.

Re Item VII

Certain defects in the international application

- 1. The whole body of the description should be thoroughly revised to comply with the Rule 11.13(m) PCT which requires that the same feature shall be denoted by the same reference sign throughout the application. This is clearly not the case, e.g. feature 64 is described as "outlet" and "driving electrode".
- 2. Moreover, the whole body of the description should also be thoroughly revised to comply with the Rule 10.2 PCT which requires that the terminology and the signs shall be consistent throughout the application. This is not the case, e.g. for the feature 28 which is variously referred to as "guidance liquid", "guidance stream" and "flow".
- **3**. According to the requirements of Rule 11.13(I) reference signs not appearing in the description shall not appear in the drawings, and vice versa. This requirement is not met in view of the reference sign 64 on page 25, line 30; the reference sign 267 in fig. 5; and the reference sign 23' on page 33, line 17.

Re Item VIII

Certain observations on the international application

1. The claims as a whole do not meet the requirements of Article 6 PCT in that the matter for which protection is sought is not clearly defined. The term "interaction" as used in the claims is vague and unclear and leaves the reader in doubt as to the meaning of the technical features to which it refers, thereby rendering the definition of the subject matter of the claims unclear (Article 6 PCT).

In order to facilitate the examination of the conformity of the amended application with the requirements of Article 34(2)(b) PCT, the applicant is requested to clearly identify the amendments carried out, no matter whether they concern amendments by addition, replacement or deletion, and to indicate the passages of the application as filed on which these amendments are based (see also Rule 66.8(a) PCT).

If the applicant regards it as appropriate these indications could be submitted in handwritten form on a copy of the relevant parts of the application as filed.



PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference PJS/P7688W0	FOR FURTHER SEC	Notification of Transmorm PCT/ISA/220) as w	ittal of International Search Report ell as, where applicable, item 5 below.
International application No	International filing date (day/m	onth/year) (Earlie	est) Priority Date (day/month/year)
PCT/EP 00/02578	23/03/2000		24/03/1999
Applicant TORSANA BIOSENSOR A/S et			
This International Search Report has be according to Article 18. A copy is being t	ransmitted to the International Bu	reau.	l is transmitted to the applicant
	y a copy of each prior art docume		
Basis of the report With regard to the language, the language in which it was filed, un	e international search was carried nless otherwise indicated under th	out on the basis of the is item.	international application in the
the international search Authority (Rule 23.1(b)).	was carried out on the basis of a t	ranslation of the interna	ational application furnished to this
was carried out on the basis of the contained in the internated	nd/or amino acid sequence disc ne sequence listing: ional application in written form. ternational application in compute		al application, the international search
	to this Authority in written form.		
furnished subsequently	to this Authority in computer readt	ole form.	
the statement that the su international application	ubsequently furnished written sequas filed has been furnished.	uence listing does not g	o beyond the disclosure in the
the statement that the in furnished	formation recorded in computer re	eadable form is identica	I to the written sequence listing has been
Certain claims were fo Unity of invention is la	und unsearchable (See Box I). cking (see Box II).		
4. With regard to the title ,			
X the text is approved as s	ubmitted by the applicant.		
the text has been establ	ished by this Authority to read as t	follows:	
5. With regard to the abstract ,			
	ubmitted by the applicant.		
the text has been establ within one month from the	ished, according to Rule 38.2(b), I ne date of mailing of this internatio	oy this Authority as it ap nal search report, subm	pears in Box III. The applicant may, nit comments to this Authority.
6. The figure of the drawings to be pul	olished with the abstract is Figure	No.	1
$oxed{X}$ as suggested by the app	olicant.		None of the figures.
because the applicant fa	iled to suggest a figure.		
because this figure bette	er characterizes the invention.		

International application No. PCT/EP 00/02578

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
Claims Nos because they relate to subject matter not required to be searched by this Authority, namely. 1. Claims Nos because they relate to subject matter not required to be searched by this Authority, namely.
2. Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. X No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: 1-17
Remark on Protest The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

1. Claims: 1-17

method and apparatus for producing an interaction between a hydrodynamically focused liquid and a selected region of a target surface.

2. Claim: 18

method for producing an interaction between an electrodynamically focused liquid and a selected region of a target surface.



International Application No PCT/EP 00/02578

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 B01J19/00 G011 F15C5/00 F15C1/08 G01N15/14 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) B01J G01N F15C Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No Citation of document, with indication, where appropriate, of the relevant passages Category 6 1-4,8-12 WO 98 10267 A (TECHNICAL UNIVERSITY OF Χ DENMARK) 12 March 1998 (1998-03-12) cited in the application abstract page 1, paragraph 1 page 3, line 18 - line 35 page 5, line 29 -page 6, line 6 page 6, line 33 -page 8, line 2 page 14, line 16 -page 15, line 15 page 18, line 29 -page 19, line 10 page 21, line 36 -page 22, line 15 page 27, line 14 -page 28, line 17 figures 1,5,13 Patent family members are listed in annex ΙX Further documents are listed in the continuation of box C Special categories of cited documents : "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the *A* document defining the general state of the art which is not considered to be of particular relevance invention "X" document of particular relevance, the claimed invention "E" earlier document but published on or after the international cannot be considered novel or cannot be considered to filing date involve an inventive step when the document is taken alone *L* dócument which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other, such docu-*O* document referring to an oral disclosure, use, exhibition or ments, such combination being obvious to a person skilled in the art. document published prior to the international filing date but *&" document member of the same patent family later than the priority date claimed Date of mailing of the international search report 2 1. 09. 2000 Date of the actual completion of the international search 7 July 2000 Authorized officer Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, STEVNSBORG, N Fax: (+31-70) 340-3016

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International Application No PCT/EP 00/02578



Information on patent family members

International Application No PCT/EP 00/02578

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9810267	А	12-03-1998	AU 4113297 A EP 0925494 A NO 991051 A	26-03-1998 30-06-1999 27-04-1999
w0 9905512	A	04-02-1999	US 5948684 A AU 5450498 A EP 1002227 A	07-09-1999 16-02-1999 24-05-2000
EP 916396	A	19-05-1999	US 5384261 A US 5412087 A EP 0972564 A AU 675054 B AU 3148193 A CA 2124087 A EP 0624059 A JP 7506561 T WO 9309668 A US 6040193 A US 5685837 A US 5677195 A AU 4110793 A WO 9322680 A	24-01-1995 02-05-1995 19-01-2000 23-01-1997 15-06-1993 27-05-1993 17-11-1994 20-07-1995 27-05-1993 21-03-2000 23-03-1999 14-10-1997 29-11-1993 11-11-1993
WO 9849344	 А	05-11-1998	NONE	

From the

INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

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NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY **EXAMINATION REPORT**

(PCT Rule 71.1)

Date of mailing

(day/month/year)

16.07.2001

Applicant's or agent's file reference

PJS/P7688WO

PCT/EP00/02578

International application No.

International filing date (day/month/year)

23/03/2000

Priority date (day/month/year)

IMPORTANT NOTIFICATION

24/03/1999

Applicant

TORSANA BIOSENSOR A/S et al.

- 1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
- 2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/

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PCT From the INTERNATIONAL SEARCHING AUTHORITY NOTIFICATION OF TRANSMITTAL OF То W. H. BECK, GREENER & CO. THE INTERNATIONAL SEARCH REPORT Attn. Smart, Peter J. OR THE DECLARATION 7 Stone Buildings Lincoln's Inn (PCT Rule 44.1 London WC2A 3SZ UNITED KINGDOM Date of mailing (day/month/year) 21/09/2000 Applicant's or agent's file reference See paragraphs 1 and 4 below FOR FURTHER ACTION PJS/P7688W0 International filing date International application No (day/month/year) 23/03/2000 PCT/EP 00/02578 Applicant TORSANA BIOSENSOR A/S et al. 1. X The applicant is hereby notified that the International Search Report has been established and is transmitted herewith. Filing of amendments and statement under Article 19: The applicant is entitled, if he so wishes, to amend the claims of the International Application (see Rule 46): When? The time limit for filing such amendments is normally 2 months from the date of transmittal of the International Search Report, however, for more details, see the notes on the accompanying sheet International Bureau of WIPO Where? Directly to the 34, chemin des Colombettes 1211 Geneva 20, Switzerland Fascimile No.: (41-22) 740.14.35 For more detailed instructions, see the notes on the accompanying sheet. The applicant is hereby notified that no International Search Report will be established and that the declaration under Article 17(2)(a) to that effect is transmitted herewith. With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that: the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices. no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made. Further action(s): The applicant is reminded of the following: Shortly after 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, before the completion of the technical preparations for international publication. Within 19 months from the priority date, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later). Within 20 months from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected in the demand or in a later election within 19 months from the priority date or could not be elected because they are not bound by Chapter II.

Authorized officer

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Fax: (+31-70) 340-3016

Name and mailing address of the International Searching Authority

Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.

European Patent Office, P.B. 5818 Patentlaan 2

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions, respectively

INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only

What parts of the international application may be amended?

Under Article 19, only the claims may be amended

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41

When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filled.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

What documents must/may accompany the amendments?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged,
- (ii) the claim is cancelled
- (iii) the claim is new,
- (iv) the claim replaces one or more claims as filed,
- (v) the claim is the result of the division of a claim as filed

The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- [Where originally there were 48 claims and after amendment of some claims there are 51]: "Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged, new claims 49 to 51 added."
- [Where originally there were 15 claims and after amendment of all claims there are 11]: "Claims 1 to 15 replaced by amended claims 1 to 11."
- 3. [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:
 - "Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or "Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
- [Where various kinds of amendments are made]:
 Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added.

"Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

It must be in the language in which the international application is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments and any accompanying statement, under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the time of filing the amendments (and any statement) with the International Bureau, also file with the International Preliminary Examining Authority a copy of such amendments (and of any statement) and, where required, a translation of such amendments for the procedure before that Authority (see Rules 55.3(a) and 62.2, first sentence). For further information, see the Notes to the demand form (PCT/IPEA/401).

Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide

PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

To:

Commissioner
US Department of Commerce
United States Patent and Trademark
Office, PCT
2011 South Clark Place Room
CP2/5C24
Arlington, VA 22202
ETATS-UNIS D'AMERIQUE

in its capacity as elected Office

Date of mailing (day/month/year)
11 January 2001 (11.01.01)
International application No.

Applicant's or agent's file reference

PCT/EP00/02578

International filing date (day/month/year)
23 March 2000 (23.03.00)

Priority date (day/month/year) 24 March 1999 (24.03.99)

PJS/P7688WO

Applicant

BLANKENSTEIN, Gert et al

The designated Office is hereby notified of its election made:	
X in the demand filed with the International Preliminary Examining Authority on:	
17 October 2000 (17.10.00)	l
in a notice effecting later election filed with the International Bureau on:	
The election X was	
was not	
made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).	
	X in the demand filed with the International Preliminary Examining Authority on: 17 October 2000 (17.10.00)

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

F. Zotomayor

Facsimile No.: (41-22) 740.14.35 Telephone No.: (41-22) 338.83.38

4 1 x 1 x 1 x 1

International application No. PCT/US97/21258

IPC(6) :G01N 21/64 US CL :436/172, 177, 180; 422/81				
According to International Patent Classification (IPC) or to both national classification and IPC				
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	436/172, 177, 180; 422/81, 82, 82.08			
Documental	tion searched other than minimum documentation to the	extent that such documents are included	in the fields searched	
Electronic o	data base consulted during the international search (na	ame of data base and, where practicable	c, search terms used)	
C. DOC	UMENTS CONSIDERED TO BE RELEVANT			
Category*	Citation of document, with indication, where ap	propriate, of the relevant passages	Relevant to claim No.	
A	US 4,894,146 A (GIDDINGS) 16 Janu	pary 1990, entire document.	1-20	
A	US 5,141,651 A (GIDDINGS) 25 Aug	rust 1992, entire document.	1-20	
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A	US 5,389,524 A (LARSEN et al) document.	14 February 1995, entire	1-20	
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	ner documents are listed in the continuation of Box C	and the desired restricted after the inte	ernetional filing date or priority	
'A' do	comment defining the general state of the art which is not considered be of perticular relevance	date and not in conflict with the app the principle or theory underlying the	izvention	
	rtier document published on or after the international filing date	"X" document of particular relevance; the	e claimed invention cannot be red to involve an inventive step	
cit	cument which may throw doubts on priority claim(s) or which is led to establish the publication dots of another estation or other	when the document is taken alone "Y" document of perticular retevance; the	e elaimed invention cannot be	
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Date of the actual completion of the international search O2 MARCH 1998 Date of mailing of the international search 1.3 MAR 1998				
Commission Box PCT	mailing address of the ISA/US mer of Patents and Trademarks	Authorized efficer D. Naudu JEFFREY R. SNAY		
Washington, D.C. 20231 Telephone No. (703) 308-0651				

International application No. PCT/US98/08461

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IPC(6) :	SSIFICATION OF SUBJECT MATTER :C12Q 1/68; G01N 33/551, 33/558, 33/569 :435/6, 283.1, 287.1, 288.2, 288.7; 422/58, 68.1, 82.0 o International Patent Classification (IPC) or to both	8; 436/94 national classification and IPC	
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	ocumentation searched (classification system follower	by classification symbols)	
U.S. : 4	435/6, 283.1, 287.1, 288.2, 288.7; 422/58, 68.1, 82.0	3; 436/94	
Documentat	ion searched other than minimum documentation to the	extent that such documents are included	in the fields searched
Electronic d	lata base consulted during the international search (no	ame of data base and, where practicable,	search terms used)
	DLINE, BIOSIS, CA, DERWENT ms: microchannel, microfluidic, microchip, hybridize,	probe	
C. DOC	CUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where ap	propriate, of the relevant passages	Relevant to claim No.
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Furth	her documents are listed in the continuation of Box C		
A do	pecial categories of cited documents: becument defining the general state of the art which is not considered be of particular relevance	"T" later document published after the inte date and not in conflict with the appl the principle or theory underlying the	ication but cited to understand
'B' ea	rlier document published on or after the international filing date seament which may throw doubts on priority claim(s) or which is	"X" document of particular relevance; the considered novel or cannot be conside when the document is taken alone	
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0 do	cument referring to an oral disclosure, use, exhibition or other	considered to involve an inventive combined with one or more other such being obvious to a person skilled in the	documents, such combination
	cument published prior to the international filing date but later than e priority date claimed	*&* document member of the same patent	fam ily
	actual completion of the international search	Date of mailing of the international sea	EP 1998
16 JULY		A. A	1111
Commissio Box PCT	mailing address of the ISA/US mer of Patents and Trademarks	KENDETH R. HORLIOK	UH / D
	n, D.C. 2023 l Io (703) 305-3230	Telephone No. (703) 308-0196	U

Intern al Application No PCT/DK 97/00368

A CLASSIFICATION OF SUBJECT MATTER IPC 6 GOIN15/14 GOIN B03C1/035 G01N33/543 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification sympos) 1PC 6 GO1N BO3C BO1L Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No Citation of document, with indication, where appropriate, of the relevant passages 1-4.9,AHN C H ET AL: "A FULLY INTEGRATED Y 10,12, MICROMACHINED MAGNETIC PARTICLE 17, MANIPULATOR AND SEPARATOR" 20-27, PROCEEDING OF THE WORKSHOP ON MICRO 29,30, ELECTRO MECHANICAL SYSTEMS (MEM, OISO, 34.35 JAN. 25 - 28, 1994, no. WORKSHOP 7, 25 January 1994, INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS. pages 91-96, XP000528399 see the whole document -/--Patent family members are ested in annex Further documents are listed in the continuation of box $\tilde{\mathsf{C}}$ |X|"T" later document published after the international liling date or priority date and not in conflict with the application but Special categories of cited documents cited to understand the principle or theory underlying the "A" document defining the general state of the lart which is not considered to be of particular relevance "X" document of particular relevance, the claimed invention cannot be considered novel or cannot be considered, to *E* earlier document but published on or after the international involve an inventive step when the document is taken alone filing date "L" document which may throw doubts on priority claim(s) or "Y" document of particular relevance; the claimed invention which is cited to establish the publication date of another cannot be considered to involve an inventive, step when the document is combined with one or more other, such docucitation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or ments, such combination being obvious to a person skilled other means in the art *P* document published prior to the international filing date but "&" document member of the same patent family later than the priority date claimed Date of mailing of the international search report Date of the actual completion of the international search -7. OL 98 12 December 1997 Authorized officer Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL -2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx 31 651 epo nl, Brison, 0 Fax: (+31-70) 340-3016

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Intern. Il Application No PCT/DK 97/00368

<u> </u>	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	l Relevant to claim No
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X	see the whole document	37
Υ	US 4 279 345 A (ALLRED JOHN C) 21 July 1981 see the whole document	12
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Х	WO 94 15193 A (SIENNA BIOTECH INC) 7 July 1994 see abstract	38
X	WO 93 22058 A (UNIV PENNSYLVANIA) 11 November 1993 see page 11, line 1-14	39
A	US 5 053 344 A (ZBOROWSKI MACIEJ ET AL) 1 October 1991 see abstract	1
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Inte ional Application No PCT/SE 99/00063

CLASSIFICATION OF SUBJECT MATTER PC 6 G01N21/55 G01N A. CLASS G01N21/05 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) G01N C23C IPC 6 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No. Citation of document, with indication, where appropriate of the relevant bassages 1-4,6,7,WO 96 35940 A (CIBA GEIGY AG ; BUDACH χ 9,10,17, WOLFGANG (CH); NEUSCHAEFER DIETER (CH); 18,39-42PAWL) 14 November 1996 19,20, Y 43,44 see page 2, line 5 - line 8 see page 5, line 6 - line 8 see page 5, line 29 - page 6, line 3 see page 6, line 30 - page 7, line 3 see page 6, Tine 30 - page 7, Tine 3 see page 11, line 19 - line 26 see page 18, line 21 - page 19, line 5 see page 20, line 22 - page 21, line 27 see page 22, line 10 - line 15 see page 26, line 15 - line 28 see page 32, line 17 - page 33, line 5 see figures 5,6 -/--Patent family members are listed in annex Further documents are listed in the continuation of box C. Χ Χ "T" later document published after the international filing date or priority date and not in conflict with the application but Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance cited to understand the principle or theory underlying the invention "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone filing date "L" document which may throw doubts on priority claim(s) or "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other, such docuwhich is cited to establish the publication date of another criation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or ments, such combination being obvious to a person skilled other means "P" document published prior to the international filing date but later than the priority date claimed. "&" document member of the same patent family Date of mailing of the international search report Date of the actual completion of the international search 03/05/1999 23 April 1999 Authorized officer Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel (+31-70) 340-2040, Tx 31 651 epo nl, Navas Montero, E

Fax: (+31-70) 340-3016

Inte. .onal Application No PCT/SE 99/00063

Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	Relevant to claim No
egory ³	Citation of document, with indication, where appropriate, of the relevant passages	Tiere vant 10 Claim TVO
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	WO 94 27137 A (UNIV UTAH RES FOUND) 24 November 1994 see the whole document	1

Information on patent family members

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WO	9701087	Α	09-01-1997	AU	6354796 A	22-01-1997
WO	9610178	Α	04-04-1996	EP JP	0784793 A 10507126 T	23-07-1997 14-07-1998
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